

# RG folklore

Invariance with respect to change of the reference scale  $\mu$

$$\frac{dF}{d\mu} = 0 . \quad (1)$$

can be detailed as a linear partial DE

$$\left[ x \frac{\partial}{\partial x} - \beta(g) \frac{\partial}{\partial g} \right] F(x, g) = 0 ; \quad x = q^2 / \mu^2, \quad g = g_\mu . \quad (2)$$

$$\beta(g_\mu) = z \frac{\partial \bar{g}(z)}{\partial z} \quad \text{at} \quad z = \mu^2 . \quad (3)$$

Running coupling  $\bar{g}$  is a function of 2 arguments :  $q^2 / \mu^2 = x$  and  $g_\mu$  with property  $\bar{g}(1, g) = g$ . The  $\bar{g}$  satisfies eqs. (1),(2). Due to this it is *invariant coupling* function.

## RG folklore; cont.

Besides,

$$x \frac{\partial \bar{g}(x, g)}{\partial x} = \beta(\bar{g}(x, g)) . \quad (4)$$

Also of interest are covariant objects  $s(x, g)$  with

$$\left[ x \frac{\partial}{\partial x} - \beta(g) \frac{\partial}{\partial g} + \gamma_s(g) \right] s(x, g) = 0 , \quad (5)$$

$\gamma_s(g)$  being anomalous dimension of  $s$ .

# Mathematical Grounds

## Functional and Diff. Equations

The central is Funct. Eq (FE) for invariant coupling

$$\bar{g}(x, g) = \bar{g} \left( \frac{x}{t}, \bar{g}(t, g) \right) . \quad (6)$$

Non-linear DEq (4) is obtained from it by differentiating over  $x$  with  $t = x$ . In parallel, by diff-ing over  $t$  at  $t = 1$  one gets (partial) PDEq (2) with *the Lie operator*  $L(x, g)$

$$L(x, g)\bar{g}(x, g) = 0; \quad L(x, g) = \left[ x \frac{\partial}{\partial x} - \beta(g) \frac{\partial}{\partial g} \right] . \quad (7)$$

# Functional Group Eqs

Due to this, Funct. eqs (6) and

$$\bar{s}(x, g) = \bar{s}(t, g) \bar{s} \left( \frac{x}{t}, \bar{g}(t, g) \right) \quad (8)$$

presents *most general form of RG symmetry in QFT.*

From (6), (8) stem (4) and

$$x \frac{\partial s(x, g)}{\partial x} = s(x, g) \gamma_s (\bar{g}(x, g)) , \quad (9)$$

Meanwhile, these **Funct. Eqs.(8) and (6)**

$$\bar{g}(x, g) = \bar{g} \left( \frac{x}{t}, \bar{g}(t, g) \right) . \quad (6)$$

just contain the group composition law and

**have no physical contents !!**

# RG transformation

Consider change  $[\mu_i \rightarrow \mu_k, g_i \rightarrow g_k]$ , as operation with continuous positive parameter  $t$ , acting on group element  $\mathcal{G}_i(\mu_i, g_i)$ , specified by 2 coordinates.

Operation  $R_t$

$$R_t \cdot \mathcal{G}_i = \mathcal{G}_k \sim R_t \left\{ \mu_i^2 \rightarrow \mu_k^2 = t \mu_i^2, g_i \rightarrow g_k = \bar{g}(t, g_i) \right\}$$

contains dilatation of  $\mu$ , and **funct'l transf-n** of  $g_\mu$ .<sup>(10)</sup>

The  $R_t$  group structure is provided just by eq.(6).

Indeed, if we put  $x = \tau t$ , then its l.h.s. describes

the  $R_{\tau t}$  acting on  $g$ , while r.h.s one –  $R_\tau \otimes R_t g$

$$R_{\tau t} g = \bar{g}(\tau t, g); \quad R_\tau \otimes R_t g = R_\tau \bar{g}(t, g) = \bar{g}(\tau, \bar{g}(t, g))$$

# Lie Group of Transformations

Combination of

$$R_t \cdot \mathcal{G}_i = \mathcal{G}_k \sim R_t \{ \mu_i^2 \rightarrow \mu_k^2 = t\mu_i^2, g_i \rightarrow g_k = \bar{g}(t, g_i) \} \quad (10)$$

and

$$R_{\tau t} g = \bar{g}(\tau t, g); \quad R_{\tau} \otimes R_t g = R_{\tau} \bar{g}(t, g) = \bar{g}(\tau, \bar{g}(t, g))$$

results in

$$\bar{g}(x, g) = \bar{g}\left(\frac{x}{t}, \bar{g}(t, g)\right). \quad (6)$$

Hence, the eq.(6) provides the group composition law  $R_{\tau t} = R_{\tau} \otimes R_t$ , that is operations  $R_t$  (10) form continuous Sophus Lie(1880) group of transformations

# Abstract formulation of composition law

Let  $T(l)$  be a transformation of an abstract set  $\mathcal{M}$  of elements  $M_i$  to itself, depending on continuous real parameter  $l$ , varying in  $(-\infty < l < \infty)$ , That is, for each  $M$  one can write

$$T(l) M = M' \quad (M, M' \subset \mathcal{M}) .$$

Assume, set  $\mathcal{M}$  can be projected on numerical axis, i.e., to each  $M_i$  there correspond a number  $g_i$ .

Then 
$$T(l)g = g' = G(l, g) ,$$

with  $G$  – continuous function of 2 arguments.

# Abstract form-n of composition law, cont'd

$$T(l)g = g' = G(l, g) ,$$

with  $G$  – continuous function with property

$$G(0, g) = g , \quad \text{that relates to unity trans-n} \quad T(0) = \mathbf{E} .$$

Trans-s  $T(l)$  form a group provided the composition

law  $T(\lambda) \oplus T(l) = T(\lambda + l)$  , and funct'l eq for  $G$

$$G\{\lambda, G(l, g)\} = G(\lambda + l, g) \quad (11)$$

holds.



# Diff. Group Equations

According to Lie group theory, it's sufficient to consider infinitesimal (at  $\lambda \ll 1$ ) version of (11) – the Diff. eq.

$$\frac{\partial G(l, g)}{\partial l} = \beta\{G(l, g)\} . \quad (12)$$

with generator defined via derivative

$$\beta(g) = \frac{\partial G(\epsilon, g)}{\partial \epsilon}, \quad \text{at } \epsilon = 0.$$

After logarithmic change of variables

$$l = \ln x, \quad \lambda = \ln t, \quad G(l, g) = \bar{g}(x, g), \quad T(\ln t) = R_t, \quad (13)$$

we get multiplicative (6), (4) instead of additive (11), (12).

# Transformation of reparameterisation

A particular solution  $f(x)$  of some boundary problem is specified by boundary condition  $f(x_0) = f_0$ . It can be given as  $F(x/x_0, f_0)$  with property  $F(1, \gamma) = \gamma$ . Now equation

$$F(x/x_0, f_0) = F(x/x_1, f_1)$$

expresses *the reparameterization invariance* as in the explicit case  $F(x, \gamma) = \Phi(\ln x + \gamma)$ . Using relations

$$f_1 = F(x_1/x_0, f_0); \quad \xi = x/x_0, \quad t = x_1/x_0,$$

we come to the funct'l eq.

$$F(\xi, f_0) = F(\xi/t, F(t, f_0)) \quad (6 - bis),$$

# Transf-n of reparameterisation; cont'd

$$F(\xi, f_0) = F(\xi/t, F(t, f_0)) \quad (6 - bis),$$

is equivalent to (6). The involved operation can be presented as

$$G_t : \{ \xi \rightarrow \xi/t, f_0 \rightarrow f_1 = F(t, f_0) \}. \quad (14)$$

The additive version of these eqs is

$$R(l) : \{ q \rightarrow q' = q - l, g \rightarrow g' = G(l, g) \}, \quad (15)$$

and (11).

# The additive version

$$R(l) : \{ q \rightarrow q' = q - l, \quad g \rightarrow g' = G(l, g) \}, \quad (16)$$

By change of variables  $q \rightarrow x = e^q$ ,  $l \rightarrow t = e^l$  and of function (13) one gets (4), (6) and transf-n

$$R_t : \{ x' = x/t, \quad g' = \bar{g}(t, g) \} \quad (17)$$

instead of eqs.(11), (12),(16).

One can treat eqs.(4),(6), (17) as *multiplicative* version of RG eqs. for effective coupling in massless QFT with 1 coupling  $g$ .

Here,  $x = Q^2/\mu^2$ . For propagator amplitude one has

$$\phi(q, g) \rightarrow R(l)\phi = z(l, g)\phi(q', g'), \quad (18)$$

that corresponds to (8).

## Simple Generalizations

"Massive" Case. For example in QFT, if we do not neglect the particle mass  $m$ , we should insert one more argument into the effective coupling  $\bar{g}$  which now has to be considered as a function of 3 variables  $x = Q^2/\mu^2$ ,  $y = m^2/\mu^2$ ,  $g$ . The presence of a "mass" argument  $y$  modifies group transf-n

$$R_t : \{ x' = x/t, \quad y' = y/t, \quad g' = \bar{g}(t, y; g) \} \quad (19)$$

and the functional equation

$$\bar{g}(x, y; g) = \bar{g} \left( \frac{x}{t}, \frac{y}{t}; \bar{g}(t, y; g) \right) . \quad (20)$$

# Simple Generalization, 1

$$\bar{g}(x, y; g) = \bar{g} \left( \frac{x}{t}, \frac{y}{t}; \bar{g}(t, y; g) \right) .$$

New parameter  $y$  enters also into the transformation law of  $g$  .

Let QFT model has several masses (like, QCD).

Then there will be several mass arguments

$$y \rightarrow \{y\} = y_1, y_2, \dots, y_n .$$

## Multi-coupling case

Another generalization relates to several coupling constants case:  $g \rightarrow \{g\} = g_1, \dots, g_k$ . Here arises "family" of effective couplings

$$\bar{g} \rightarrow \{\bar{g}\}, \quad \bar{g}_i = \bar{g}_i(x, y; \{g\}), \quad i = 1, 2, \dots, k, \quad (21)$$

satisfying the system of coupled funct'l eqs

$$\bar{g}_i \left( \frac{x}{t}, \frac{y}{t}; \dots, \bar{g}_j(t, y; \{g\}), \dots \right) = \bar{g}_i(x, y; \{g\}) \quad (22)$$

## Multi-coupling case; cont'd

This system is a generalization of (5) and (20) to the case when every element  $M_i$  of  $\mathcal{M}$  can be described by  $k$  parameters, i.e., by the point  $\{g\}$  in a  $k$ -dimensional real parameter space.

The RG transformation looks like

$$R_t : \left\{ x \rightarrow \frac{x}{t}, y \rightarrow \frac{y}{t}, \{g\} \rightarrow \{\bar{g}(t)\} \right\};$$

$$\bar{g}_i(t) = \bar{g}_i(t, y; \{g\}). \quad (23)$$



# 1st Illustration: Elastic Rod

The symmetry of the FSS group transf'ns can be 'discovered' in many problems taken from diverse fields of physics.

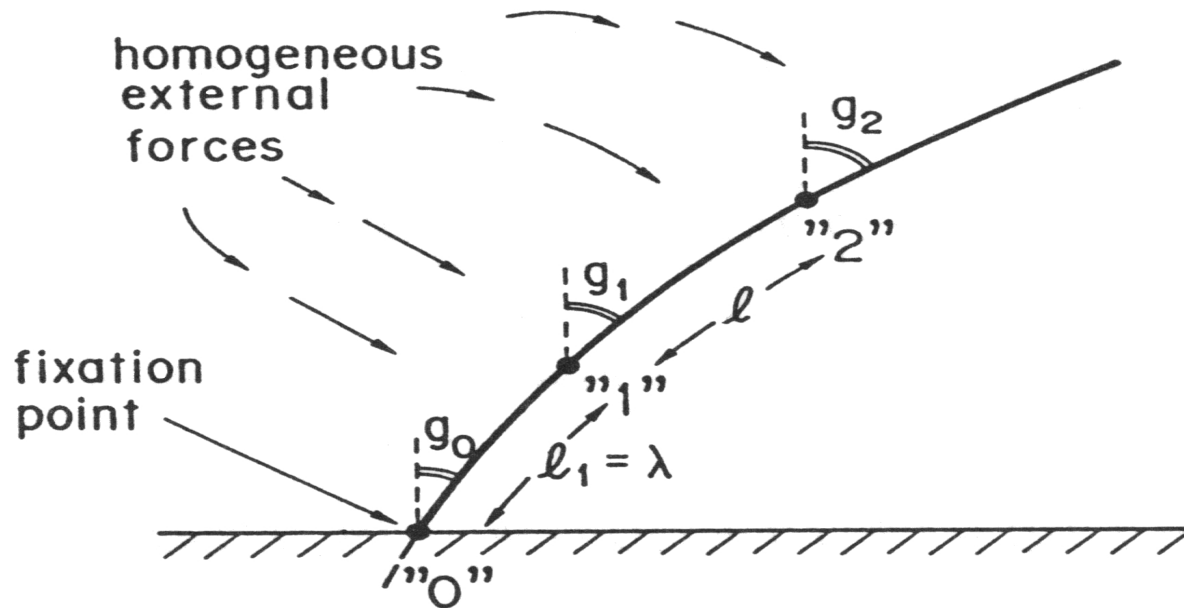


Figure 1: "Elastic rod" model

Imagine an elastic rod with a fixed point (point "0" in Fig. 1) bent by some external force, e.g., gravity or pressure of a moving gas or liquid.

## Elastic Rod, 2

The form of rod can be described by angle  $g$  between tangent to the rod and vertical direction considered as function of distance  $l$  along rod from the fixation point, that is by function  $g(l)$ . If the properties of the rod material and of external forces are homogeneous along its length (i.e. independent of  $l$ ), then  $g(l)$  can be expressed as function  $G(l, g_0)$  depending also on  $g_0$ , deviation angle at fixation point from which distance  $l$  is measured.

*Naturally,  $G$  should depend on other arguments, like extra forces and rod material parameters, as well but in this context they are irrelevant.*

## Elastic Rod, 3

Take two arbitrary points on the rod, "1" and "2" (see Fig.1 with  $l_1 = \lambda$  and  $l_2 = \lambda + l$ ). The angles  $g_i$  at points "0", "1" and "2" are related via  $G$  function :

$$g_1 = G(\lambda, g_0), \quad g_2 = G(\lambda + l, g_0) = G(l, g_1). \quad (24)$$

To get the very r.h.s. of 2nd eq., one has to imagine that fixation point now is "1" as in Fig. 2.

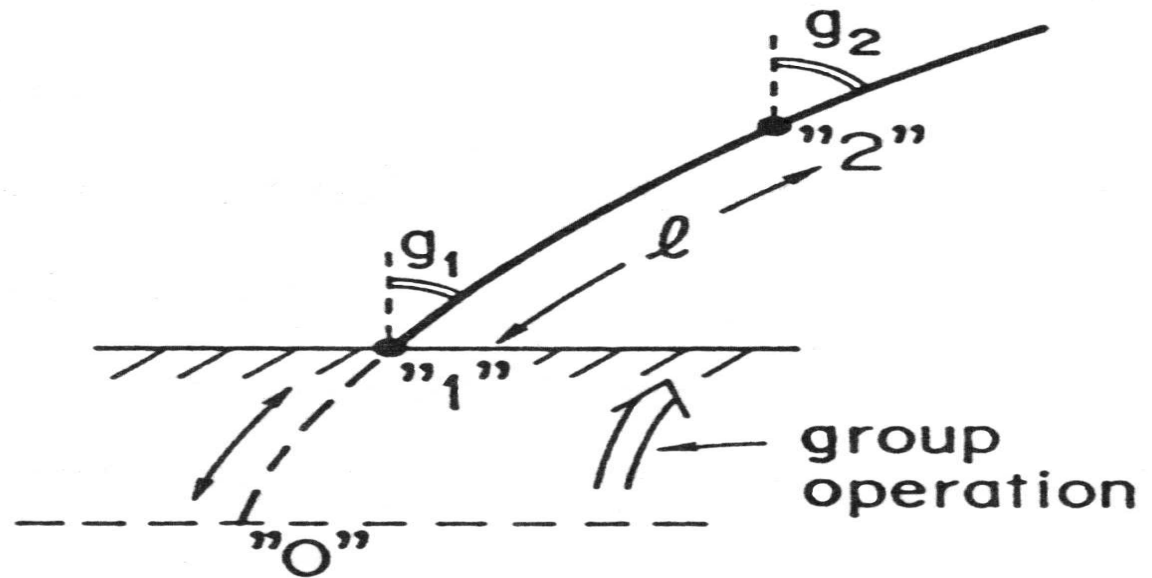


Figure 2: Group operation for the "Rod".

# Elastic Rod, 4

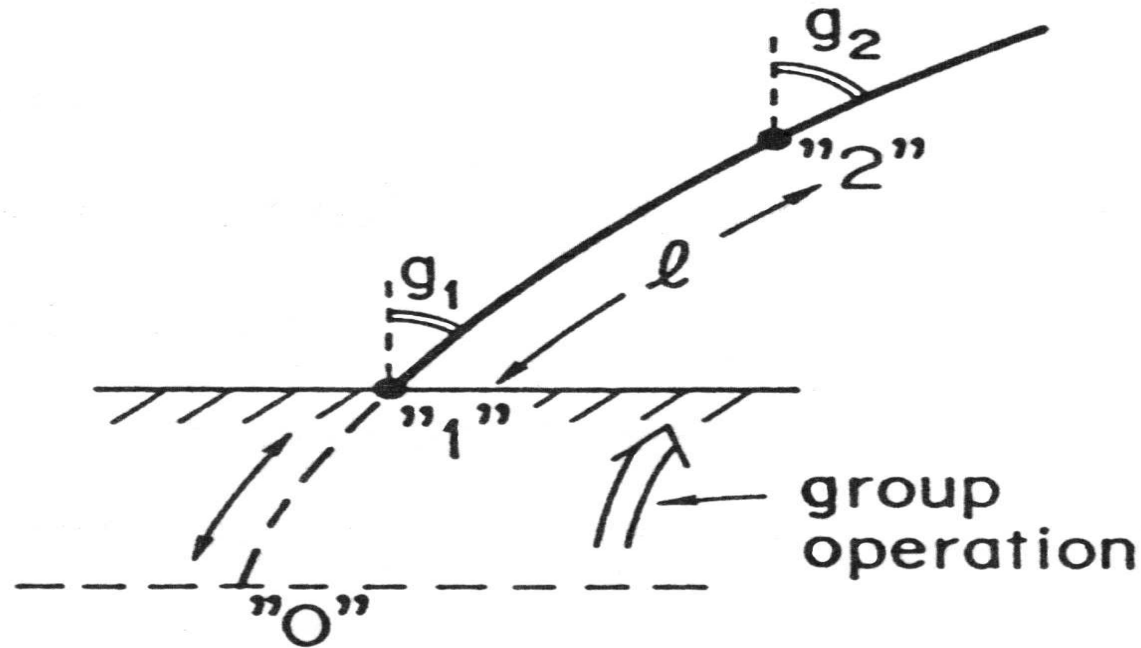


Figure 2: Group operation for the "Rod".

Combining all Eqs.

$$g_1 = G(\lambda, g_0), \quad g_2 = G(\lambda + l, g_0) = G(l, g_1), \quad (23)$$

we get group composition law ( 7) for the function  $G(l, g)$ .

## Elastic Rod, 5

In course of deriving the 2nd of Eqs. ( 24) we have tacitly assumed that rod is of infinite length. If we introduce a finite length  $L$  - Fig. 3,

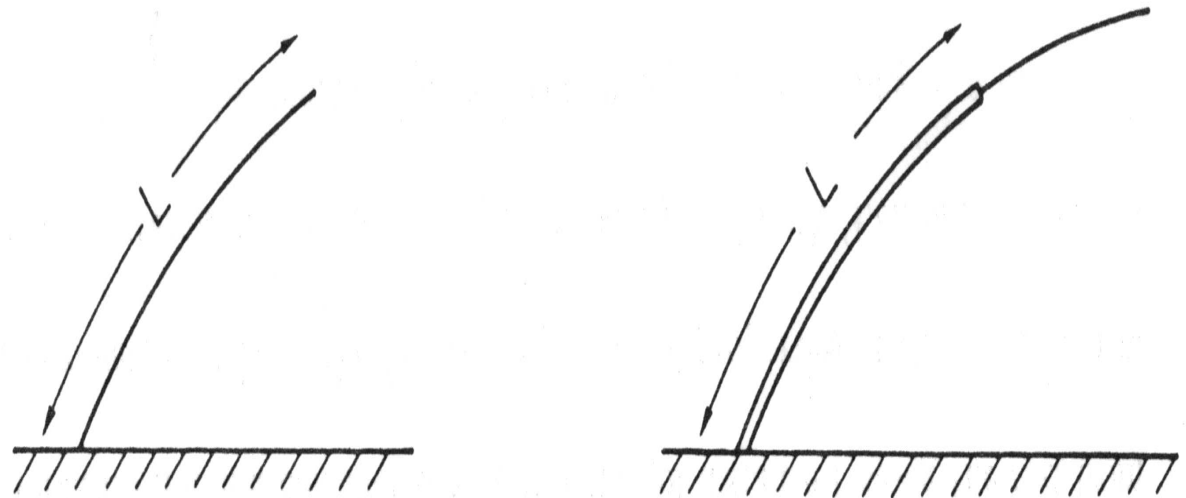


Figure 3: Rod with discrete inhomogeneity.

then  $G(l, g)$  must be replaced by function  $G(l, L, g)$  of 3 essential arguments, where the 2nd one is distance between the fixation point and the free end.

# Elastic Rod, 6

Combining now

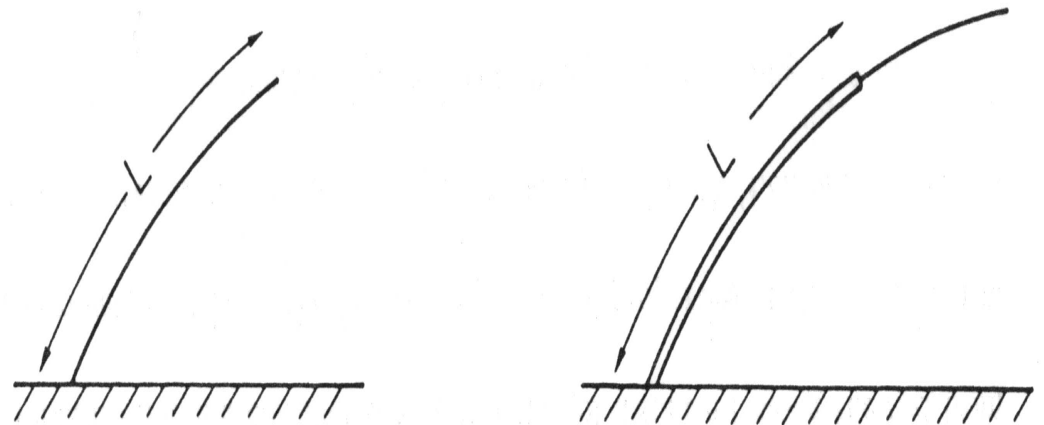


Figure 3: Rod with discrete inhomogeneity.

$$g_1 = G(\lambda, L, g_0), \quad g_2 = G(\lambda + l, L, g_0) = G(l, L - \lambda, g_1) \quad (25)$$

we come to the functional equation

$$G(l + \lambda, L, g) = G(l, L - \lambda, G(\lambda, L, g)), \quad (26)$$

which is just an "additive" version of the massive QFT one

$$\bar{g}(x, y; g) = \bar{g} \left( \frac{x}{t}, \frac{y}{t}; \bar{g}(t, y; g) \right). \quad (19)$$

and can be transformed to it by log change of variables used to get (7) from (5).

# Breaking of Homogeneity

*In (25) and (26) the 2nd argument  $L$  is not necessarily the rod length. It can be treated as a distance from the fixation point to a place where the rod properties undergo a discrete change (say, in thickness or in material).*

Generally, the additional argument  $L$  describes the *discrete breaking of homogeneity* property of the system. It can take place at several points. Their coordinates must be introduced as  $G$  additional arguments:  $L \rightarrow \{L\}$ . In the QFT case this corresponds to the introduction of particle masses.

# RG symmetry as Functional Self-Similarity

RG symmetry and RG transf-n are close to the notion of **Self-Similarity** well known in math. physics since the end of XIX. The Self-Similarity transf-n is a simultaneous power scaling of arguments

$z = \{x, t, \dots\}$  and functions  $V_i(x, t, \dots)$

$$S_\lambda : \left\{ \begin{array}{l} x \rightarrow x\lambda, \quad t \rightarrow t\lambda^a \end{array} \right\},$$

$$\left\{ V_i(z) \rightarrow V_i'(z') = \lambda^{\nu_i} V_i(z') \right\}.$$

We call it *Power Self-Similarity*=PSS transformation.



## RG vs Power Self-Simil 2

According to Zeldovich and Barenblatt, PSS is of 2 kinds:

a/ The PSS of the 1st kind, with all the powers  $\alpha, \nu, \dots$  being rational numbers defined from dimensions) = *(rational PSS)*.

b/ The PSS of the 2nd kind, with some of powers being irrational and defined from dynamics *(fractal PSS)*.

To relate RG with PSS, turn to solution of basic RG

FEq

$$\bar{g}(xt, g) = \bar{g}(x, \bar{g}(t, g)) . \quad (1.6')$$

# RG vs Power Self-similarity

The general solution of

$$\bar{g}(xt, g) = \bar{g}(x, \bar{g}(t, g)) . \quad (1.6')$$

depends on arbitrary 1-argument function - see below.

Here, we look for partial solution, linear in 2nd argument

$$\bar{g}(x, g) = g f(x) .$$

Function  $f(x)$  satisfies eq.  $f(xt) = f(x)f(t)$  , with solution:  $f(x) = x^\nu$  and  $\bar{g}(x, t) = gx^\nu$  . In our case

the RG tran-n is reduced to PSS one,

$$R_t \longrightarrow \{x \longrightarrow xt^{-1}, g \longrightarrow gt^\nu\} = S_t .$$

# RG vs PSS, cont'd

Thus, the PSS transf-n is a special case of RG one,

$$R_t \rightarrow S_t = \{x \rightarrow xt^{-1}, g \rightarrow gt^\nu\} . \quad (27)$$

Generally, in RG, instead of a power law, one has **arbitrary functional** dependence. Hence, one can consider all the RG transf-s as *functional* generalizations of PSS transf-n.

It is natural, to refer to them as to transf-s of funct'l scaling or **Functional** self-similarity(FSS) transf-n.

In short

$$\text{RG} \equiv \text{FSS}$$